

STANDARD ENCROACHMENT PERMIT APPLICATION

TR-0100 (REV. 07/2007)

Permission is requested to encroach on the State Highway right-of-way as follows:
 (Complete all BOXES [write N/A if not applicable] Please print single sided)
 This application is not complete until all requirements have been approved.

| FOR CALTRANS USE | |
|-----------------------|--|
| PERMIT NO. | |
| DIST/CO/RTE/PM | |
| SIMPLEX STAMP | |
| DATE OF SIMPLEX STAMP | |

| | | | | | |
|---|--------------|------------|--|----------------------------------|--------------------------|
| 1. COUNTY | | 2. ROUTE | | 3. POSTMILE | |
| 4. ADDRESS OR STREET NAME | | | | 5. CITY | |
| 6. CROSS STREET (Distance and direction from site) | | | | 7. PORTION OF RIGHT-OF-WAY | |
| 8. WORK TO BE PERFORMED BY <input type="checkbox"/> OWN FORCES <input type="checkbox"/> CONTRACTOR | | | 9. EST. START DATE | | 10. EST. COMPLETION DATE |
| 11. EXCAVATION | MAX. DEPTH | AVG. DEPTH | AVG. WIDTH | LENGTH | SURFACE TYPE |
| 12. EST. COST IN STATE HIGHWAY RIGHT-OF-WAY | | | FUNDING SOURCE(S) <input type="checkbox"/> FEDERAL <input type="checkbox"/> STATE <input type="checkbox"/> LOCAL <input type="checkbox"/> PRIVATE | | |
| 13. PIPES | PRODUCT TYPE | DIAMETER | VOLTAGE / PSIG | 14. CALTRANS PROJECT E.A. NUMBER | |

15. Double Permit Parent Permit Number _____
 Applicant's Reference Number / Utility Work Order Number _____

16. Have your plans been reviewed by another Caltrans branch? NO YES (If "YES") Who? _____

17. Completely describe work to be done within STATE highway right-of-way :
 Attach 6 complete sets of FOLDED plans (folded 8.5" x 11"), and any applicable specifications, calculations, maps, etc.
 All dimensions shall be in U.S. Customary (English) Units.

18. Is a city, county, or other agency involved in the approval of this project?

YES (If "YES", check type of project and attach environmental documentation and conditions of approval.)

COMMERCIAL DEVELOPMENT BUILDING GRADING OTHER _____

CATEGORICALLY EXEMPT NEGATIVE DECLARATION ENVIRONMENTAL IMPACT REPORT OTHER _____

NO (If "NO", please check the category below which best describes the project, and complete page 4 of this application.)

DRIVEWAY OR ROAD APPROACH, RECONSTRUCTION, MAINTENANCE, OR RESURFACING FENCE

PUBLIC UTILITY MODIFICATIONS, EXTENSIONS, HOOKUPS MAILBOX

FLAGS, SIGNS, BANNERS, DECORATIONS, PARADES AND CELEBRATIONS EROSION CONTROL

OTHER _____ LANDSCAPING

19. Will this project cause a substantial change in the significance of a historical resource (45 years or older), or cultural resource? YES NO
 (If "YES", provide a description)

20. Is this project on an existing highway or street where the activity involves removal of a scenic resource including a significant tree or stand of trees, a rock outcropping or a historic building? YES NO (If "YES", provide a description)

21. Is work being done on applicant's property? YES NO (If "YES", attach site and grading plans.)

ADA NOTICE: For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814

| |
|------------|
| PERMIT NO. |
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22. Will this proposed project require the disturbance of soil? YES NO
 If "YES", estimate the area within State Highway right-of-way in square feet AND acres: _____ (ft²) AND _____ (acres)
 estimate the area outside of State Highway right-of-way in square feet AND acres: _____ (ft²) AND _____ (acres)

23. Will this proposed project require dewatering? YES NO
 If "YES", estimate total gallons AND gallons/month _____ (gallons) AND _____ (gallons/month)
 SOURCE: STORM WATER NON-STORM WATER
 (*See Caltrans SWMP for definitions of non-storm water discharge: <http://www.dot.ca.gov/hq/env/stormwater/index.htm>)

24. How will any storm water or ground water be disposed of from within or near the limits of this proposed project?
 Storm Drain System Combined Sewer / Storm System Storm Water Retention Basin
 Other (explain): _____

PLEASE READ THE FOLLOWING CLAUSES PRIOR TO SIGNING THIS ENCROACHMENT PERMIT APPLICATION.

The applicant, understands and herein agrees to that an encroachment permit can be denied, and/or a bond required for non-payment of prior or present encroachment permit fees. Encroachment Permit fees may still be due when an application is withdrawn or denied, and that a denial may be appealed, in accordance with the California Streets and Highways Code, Section 671.5. All work shall be done in accordance with Caltrans rules and regulations subject to inspection and approval.

The applicant, understands and herein agrees to the general provisions, special provisions and conditions of the encroachment permit, and to indemnify and hold harmless the State, its officers, directors, agents, employees and each of them (Indemnitees) from and against any and all claims, demands, causes of action, damages, costs, expenses, actual attorneys' fees, judgments, losses and liabilities of every kind and nature whatsoever (Claims) arising out of or in connection with the issuance and/or use of this encroachment permit and the placement and subsequent operation and maintenance of said encroachment for: 1) bodily injury and/or death to persons including but not limited to the Applicant, the State and its officers, directors, agents and employees, the Indemnitees, and the public; and 2) damage to property of anyone. Except as provided by law, the indemnification provisions stated above shall apply regardless of the existence or degree of fault of Indemnitees. The Applicant, however, shall not be obligated to indemnify Indemnitees for Claims arising from the sole negligence and willful misconduct of State, its officers, directors, agents or employees.

**DISCHARGES OF STORM WATER AND NON-STORM WATER: Work within State Highway right-of-way shall be conducted in compliance with all applicable requirements of the National Pollutant Discharge Elimination System (NPDES) permit issued to the Department of Transportation (Department), to govern the discharge of storm water and non-storm water from its properties. Work shall also be in compliance with all other applicable Federal, State and Local laws and regulations, and with the Department's Encroachment Permits Manual and encroachment permit. Compliance with the Departments NPDES permit requires amongst other things, the preparation and submission of a Storm Water Pollution Protection Plan (SWPPP), or a Water Pollution Control Program (WPCP), and the approval of same by the appropriate reviewing authority prior to the start of any work. Information on the requirements may also be reviewed on the Department's Construction Website at:
<http://www.dot.ca.gov/hq/construc/stormwater>**

| | |
|---|----------------|
| 25. NAME of APPLICANT or ORGANIZATION (Print or Type) | E-MAIL ADDRESS |
|---|----------------|

ADDRESS of APPLICANT or ORGANIZATION WHERE PERMIT IS TO BE MAILED (Include City and Zip Code)

| | |
|--------------|------------|
| PHONE NUMBER | FAX NUMBER |
|--------------|------------|

| | | |
|---|--|----------------|
| 26. NAME of AUTHORIZED AGENT / ENGINEER (Print or Type) | IS LETTER OF AUTHORIZATION ATTACHED? <input type="checkbox"/> YES <input type="checkbox"/> NO | E-MAIL ADDRESS |
|---|--|----------------|

ADDRESS of AUTHORIZED AGENT / ENGINEER (Include City and Zip Code)

| | |
|--------------|------------|
| PHONE NUMBER | FAX NUMBER |
|--------------|------------|

| | | | |
|--|------------------------|-----------|----------|
| 27. SIGNATURE of APPLICANT or AUTHORIZED AGENT | 28. PRINT OR TYPE NAME | 29. TITLE | 30. DATE |
|--|------------------------|-----------|----------|

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| |
|-----------------------------------|
| PERMIT NO. _____ |
| WORK ORDER/REFERENCE NUMBER _____ |

| FEE CALCULATION -- FOR CALTRANS USE | | | | | |
|---|--|------|------------------|------|---------------------|
| <input type="checkbox"/> CASH <input type="checkbox"/> CREDITCARD NAME ON CARD _____ PHONE NUMBER _____ <input type="checkbox"/> CHECK NUMBER _____ NAME ON CHECK _____ PHONE NUMBER _____ <input type="checkbox"/> EXEMPT <input type="checkbox"/> PROJECT EA _____ <input type="checkbox"/> DEFERRED BILLING (Utility) | | | | | |
| CALCULATED BY | (1) | | (2) | | |
| REVIEW | 1. FEE / DEPOSIT | DATE | 2. FEE / DEPOSIT | DATE | TOTAL FEE / DEPOSIT |
| 1. _____ HOURS @ \$ _____ * | \$ _____ | | \$ _____ | | \$ _____ |
| 2. _____ HOURS @ \$ _____ * | | | \$ _____ | | \$ _____ |
| INSPECTION | 1. FEE / DEPOSIT | DATE | 2. FEE / DEPOSIT | DATE | TOTAL FEE / DEPOSIT |
| 1. _____ HOURS @ \$ _____ * | \$ _____ | | \$ _____ | | \$ _____ |
| 2. _____ HOURS @ \$ _____ * | | | \$ _____ | | \$ _____ |
| FIELDWORK | | | | | |
| _____ HOURS @ \$ _____ * | \$ _____ | | \$ _____ | | \$ _____ |
| EQUIPMENT & MATERIALS | DEPOSIT | DATE | DEPOSIT | DATE | DEPOSIT |
| | \$ _____ | | \$ _____ | | \$ _____ |
| CASH DEPOSIT IN LIEU OF BOND | \$ _____ | | \$ _____ | | \$ _____ |
| TOTAL COLLECTED | \$ _____ | | \$ _____ | | |
| CASHIER'S INITIALS | _____ | | _____ | | \$ _____ |
| * The current hourly rate is set annually by Headquarters Accounting. District Office staff do not have authority to modify this rate. | | | | | |
| PERFORMANCE BOND | <input type="checkbox"/> | DATE | | | AMOUNT \$ |
| PAYMENT BOND | <input type="checkbox"/> | DATE | | | AMOUNT \$ |
| LIABILITY INSURANCE REQUIRED? | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | AMOUNT \$ |

INSTRUCTIONS
for completing page 4

This page needs to be completed when the proposed project DOES NOT involve a City, County or other public agency.

Your answers to these questions will assist departmental staff in identifying any physical, biological, social or economic resources that may be affected by your proposed project within the State highway right-of-way. And, to determine which type of environmental studies may be required to approve your application for an encroachment permit.

It is the applicant's responsibility for the production of all required environmental documentation and supporting studies, in some cases this may be costly and time-consuming. If possible, attach photographs of the location of the proposed project.

Please answer these questions to the best of your ability. Provide a description of any "YES" answers (type, name, number, etc.)

1. Will any existing vegetation and/or landscaping within the highway right-of-way be disturbed?
2. Are there waterways (e.g. river, creek, pond, natural pool or dry streambed) adjacent to or within the limits of the project or highway right-of-way?
3. Is the proposed project located within five miles of the coast line?
4. Will the proposed project generate construction noise levels greater than 86 dBA (e.g. jack-hammering, pile driving)?
5. Will the proposed project incorporate land from a public park, recreation area or wildlife refuge open to the public?
6. Are there any recreational trails or paths within the limits of the proposed project or highway right-of-way?
7. Will the proposed project impact any structures, buildings, rail lines, or bridges within highway right-of-way?
8. Will the proposed project impact access to any businesses or residences?
9. Will the proposed project impact any existing public utilities or public services?
10. Will the proposed project impact existing pedestrian facilities, such as sidewalks, crosswalks, or overcrossings?
11. Will new lighting be constructed within or adjacent to highway right-of-way?